



# MINUTES

REGULAR MEETING OF  
THE AUDIT COMMITTEE  
OCTOBER 06, 2021 AT 2:00  
PM  
VIRTUAL MEETING

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1. **CALL TO ORDER**

Audit Committee Meeting called to order 2:06 pm.

2. **ROLL CALL**

**Members Present:**

Member Adolfo Montoya  
Member Al Castillo  
Chair Stephanie Woodruff

**Members Excused:**

Member Mary Ellen Erpelding-Chacon  
Vice Chair Cristina Mulcahy

**Others Attending:**

Carolynn Roibal, Attendee  
Mary McCoy, Finance Director  
Fran Dunaway, Attendee  
Bradley Fluetsch, Attendee  
Alexis Lotero, Attendee

3. **APPROVAL OF AGENDA**

**MOTION:** Member Castillo moved, seconded by Member Montoya, to approve the as presented.

**VOTE:** The motion was approved on the following Roll Call vote:

**For:** Member Montoya, Member Castillo, Chair Woodruff

**Against:** None

**Abstain:** None

4. **APPROVAL OF MINUTES**

a. Regular Audit Committee – June, 23, 2021



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PM  
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**MOTION:** Member Montoya moved, seconded by Member Castillo, to approve the June 23rd Minutes as amended to show Al Castillo as present for that meeting.

**VOTE:** The motion was approved on the following Roll Call vote:

**For:** Member Montoya, Member Castillo, Chair Woodruff

**Against:** None

**Abstain:** None

5. **EXECUTIVE SESSION**

6. **NEW BUSINESS**

- a. FY20 Audit Presentation- (Raul Anaya, Principal, CliftonLarsonAllen LLC; Mary McCoy, Finance Director, [mtmccoy@santafenm.gov](mailto:mtmccoy@santafenm.gov), 505-955-6171, Alexis Lotero, Assistant Finance Director, [aclotero@santafenm.gov](mailto:aclotero@santafenm.gov); 505-955-6137)

Mary McCoy, Finance Director, Alexis Lotero, Assistant Finance Director, Clayton Pelletier, Controller, Brad Fluetsch, Finance Planning and Investment Officer presented an overview of the City of Santa Fe's Financial Performance and update on actions to improve the City's plan to fill vacancies, provide training, tools and technology to staff.

Raul Anaya, Principle Auditor with Clifton Larson Allen (CLA) presented the Audit for June 30, 2020, He gave a summary of the Audit and stated the City of Santa Fe 2020 Audit was given the highest rating that could be given which is an unmodified opinion.

Raul Anaya with CLA also went into depth on the Audit findings at the request of other members of the Audit Committee. Mary McCoy, Finance Director also spoke to the action plan to correct the findings and hiring challenges.

7. **PUBLIC COMMENT**

8. **MATTERS FROM STAFF**



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Mary McCoy suggested additional Audit presentations and updates forthcoming in future meetings- and moving forward on action plans related to the findings.

9. **MATTERS FROM THE COMMITTEE**
10. **MATTERS FROM THE CHAIR**
11. **NEXT MEETING: January 05 2022**
  - a. TBD

Next meeting to be held January 5, 2022 at 2pm

12. **ADJOURN**

Meeting adjourned 3:02 pm.

*Carolynn Roibal*  
\_\_\_\_\_  
Liaison

*Stephanie Woodruff*  
Stephanie Woodruff (Feb 14, 2023 15:09 MST)  
\_\_\_\_\_  
Chair






# Minutes\_Audit Committee\_ October 6, 2021

Final Audit Report

2023-02-14

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