



Purchasing Memo

Date: January 9, 2025

To: Governing Body and Finance Committee

From: Bill Schneider, PUD, Water Resources WHS
WHS

Via: Erin K. McSherry, City Attorney EM
EM

Jesse Roach, Public Utilities Department Interim Director JR
JR

Subject: Contract Amendment #1

Vendor Name: Stein & Brockmann

Munis Vendor Number: 3801

ITEM AND ISSUE:

The Public Utilities Department and City Attorney's Office respectfully request your review and approval of Amendment #1 to Contract Item Number 23-0415, Munis Contract Number 3204211, with Stein & Brockmann, P.A. for legal advice and representation of the City as described further below. This Amendment #2 is to increase the compensation by three-hundred twenty-four thousand five-hundred and sixty-two dollars and fifty cents (\$324,562.50) for a contract total not to exceed three hundred eighty-nine thousand four hundred seventy-five dollars (\$389,475.00) including gross receipts tax, and to extend the contract term by two (2) years to June 30, 2027.

CONTRACT NUMBER:

The FY23 Munis contract number is 3204211.

BACKGROUND AND SUMMARY:

The City requires additional legal services, and time to conduct the services, to address recent legal challenges related to the San Juan Chama Return Flow Pipeline project. This contract currently describes the firm's work as: (1) return flow credits on the Santa Fe and Rio Grande Rivers; (2) ground water storage and recovery; (3) on-call consultation on water rights matters, and; (4) regular consultation and communication with the City Attorney's Office about these matters. The Contractor's scope of work includes appearing jointly with the City Attorney's Office in administrative or judicial proceedings, one of which is forthcoming in approximately three (3) weeks related to this project.

Unanticipated additional work requires time beyond the current contract term and additional budget to fund the additional costs.

The original approval date is June 12, 2023, City Clerk number 23-0415.

PRIOR APPROVALS AND SUPPORTING INFORMATION:

FUNDING SOURCE:

Fund Name/Number: Waster Cash Fund 505

Munis Org Name/Number: 5050382

Munis Object Name/Number: 510200

Budget Officer / Designee: _____ **Date:** _____

Budget Officer Comment/Exceptions: _____

PROCUREMENT METHOD:

The procurement method used was **Choose an item.**

Exempt pursuant to SFCC 1987, Section 11-13(B)(3) (“Legal services for advice, consultation, and representation of the city.”).

This contract was signed by the City Manager June 12, 2023. The existing contract, number 3204211, expires June 30, 2025.

Chief Procurement Officer (CPO) / Designee: _____ **Date:** _____

CPO Comment/Exceptions: _____

ASSOCIATED APPROVALS:

IT Components included? Yes | No

Approval: _____ **Title:** _____ **Date:** _____

Comment/Exceptions: _____

Vehicles included? Yes | No

Approval: _____ **Title:** _____ **Date:** _____

Comment/Exceptions: _____

Construction to City Facilities, Furniture, and/or Fixtures included? Yes | No

Approval: _____ **Title:** _____ **Date:** _____

Comment/Exceptions: _____

Is this an externally funded purchase? Yes | No

If yes, what is the issuing agency: _____

Approval: _____ **Title:** _____ **Date:** _____

Comment/Exceptions: _____

Is this a Capital Asset or Project? Yes | No

Project Ledger Number: _____

Approval: _____ **Title:** _____ **Date:** _____

Comment/Exceptions: _____

ATTACHMENTS:

If Amendment:

Procurement Document:

SWPA/Existing Contract

Vendor's Quote

Legal Services Contract

Certificate of Liability Insurance (COI)

Original Contract and Packet




Date: November 21, 2024

To: Governing Body, Finance Committee, and Public Works & Utilities Committee

From: Bill Schneider, PUD, Water Resources

Via: John Dupuis, Public Utilities Department Director


John Dupuis (Dec 8, 2024 09:17 MST)

Subject: Contract Amendment #1

Vendor Name: Stein & Brockmann

Munis Vendor Number: 3801

ITEM AND ISSUE:

The Public Utilities Department respectfully requests your review and approval of Amendment #1 to Contract Item Number 23-0415, Munis Contract Number 3204211, with Stein & Brockmann, P.A. for legal representation as described further below. This Amendment #2 is to increase the compensation by three-hundred twenty-four thousand five-hundred and sixty-two dollars and fifty cents (\$324,562.50) for a contract total not to exceed three hundred eighty-nine thousand four hundred seventy-five dollars (\$389,475.00) including gross receipts tax, and to extend the contract term by two (2) years to June 30, 2027.

CONTRACT NUMBER:

The FY23 Munis contract number is 3204211.

BACKGROUND AND SUMMARY:

The Public Utilities Department Water Division requires additional legal services, and time to conduct the services, to address recent legal challenges related to the Division's work for the San Juan Chama Return Flow Pipeline project. This contract currently describes the firm's work for the Water Division as: (1) return flow credits on the Santa Fe and Rio Grande Rivers; (2) ground water storage and recovery; (3) on-call consultation on water rights matters, and; (4) regular consultation and communication with the City Attorney's Office about these matters. The Contractor's scope of work includes entering an appearance in any administrative or judicial proceeding, one of which is forthcoming in approximately three (3) weeks related to this project.

Unanticipated additional work requires time beyond the current contract term and additional budget to fund the additional costs.

The original approval date is June 12, 2023, City Clerk number 23-0415.

Prior Approvals and Supporting Information:

PROCUREMENT METHOD:

The procurement method used was NMSA 1978, Section 13-1-98, Exempt

This contract was signed by the City Manager June 12, 2023. The existing contract, number 3204211, expires on June 30, 2025.

Chief Procurement Officer (CPO) / Designee: *John J. [Signature]* **Date:** Dec 9, 2024
CPO Comment/Exceptions: Amendment #1

FUNDING SOURCE:

Fund Name/Number: Water Cash Fund 505

Munis Org Name/Number: 5050382

Munis Object Name/Number: 510200

Budget Officer / Designee: *Andy Hopkins* **Date:** Dec 9, 2024
Budget Officer Comment/Exceptions: _____

ASSOCIATED APPROVALS:

Does this purchase require any of the following associated approvals: Yes | No
(ITT, Vehicles/Fleet, Facilities, Asset, Grant Review)

IT Components included? Yes | No

Approval: _____ **Title:** _____ **Date:** _____
Comment/Exceptions: _____

Vehicles included? Yes | No

Approval: _____ **Title:** _____ **Date:** _____
Comment/Exceptions: _____

Construction, Facilities, Furniture, Fixtures, Equipment included? Yes | No

Approval: _____ **Title:** _____ **Date:** _____
Comment/Exceptions: _____

Capital Asset* or Project** Yes | No

Project Ledger #: _____

(*will this procurement result in a tangible item that costs more than \$5,000?)

(**Capital Projects are new and improvement projects that are going to cost \$10,000 or more)

Approval: _____ **Title:** _____ **Date:** _____
Comment/Exceptions: _____

Is this a Grant Funded Purchase? Yes | No

Approval: _____ **Title:** _____ **Date:** _____
Comment/Exceptions: _____

ATTACHMENTS:

Procurement Document: SWPA/Existing Contract

Vendor's Quote

Legal Services Contract

1. Certificate of Liability Insurance (COI)
2. Original Contract and Packet

CITY OF SANTA FE

LEGAL SERVICES AGREEMENT

THIS AGREEMENT is made and entered into by and between the City of Santa Fe (the "City") and Stein & Brockmann, P.A. (the "Contractor"). The date of this Agreement shall be the date when it is executed by the City.

1. Scope of Services

The Contractor agrees to represent the City in following matters:

A) Return Flow Credits on the Santa Fe and Rio Grande Rivers. Planning, drafting, and applying for one or more Return flow and/or discharge credits on the Santa Fe and Rio Grande Rivers. The application process includes, but is not limited to pre-application discussions and any administrative or adjudicative proceedings necessary to the permit process, as well as any appeals from the permitting process.

B) Ground Water Storage and Recovery. Planning, drafting, and applying for one or more groundwater storage and recovery permits on the Santa Fe and/or Rio Grande Rivers. The application process includes, but is not limited to, pre-application discussions and any administrative or adjudicative proceedings necessary to the permit process, as well as any appeals from the permitting process.

C) On-Call: Additional consultation with respect to water rights matters on an as needed basis and as requested by the City.

D) Consultation. The Contractor shall regularly consult and communicate with the City Attorney's Office regarding the status of t matter. Both the Contractor and the City Attorney's Office shall enter an appearance in any administrative or judicial proceeding.

2. Standard of Performance; Licenses.

A. The Contractor represents that Contractor possesses the personnel, experience and knowledge necessary to perform the services described under this Agreement.

B. The Contractor agrees to obtain and maintain throughout the term of this Agreement, all applicable professional and business licenses required by law, for itself, its employees, agents, representatives and subcontractors.

3. Compensation.

A. The City shall pay to Contractor the following hourly rates: **\$275/hour for Jay Stein or Jim Brockmann's time, \$250/hour for junior associates, and \$180/hour for paralegal time.** In addition, the City shall pay the Contractor for expenses incurred in the performance of services in the Agreement such as copying, telephone and computer research charges at the normal rate for such expenses charged by the Contractor to its other clients. Per diem and mileage expenses shall not exceed the amounts provided in the Per Diem and Mileage Act. Said services shall not exceed **sixty-thousand dollars (\$60,000)**, plus gross receipts tax, in total for the term of this Agreement.

B. The Contractor shall be responsible for payment of gross receipts taxes levied by the State of New Mexico on the sums payable under this Agreement.

C. Payment shall be made upon receipt and approval by the City of detailed

statements containing a report of services completed. Compensation shall be paid only for services actually performed.

4. Term.

THIS AGREEMENT SHALL NOT BECOME EFFECTIVE UNTIL APPROVED BY THE CITY. This Agreement shall terminate on June 30, 2025 unless terminated pursuant to paragraph 4 (Termination), or paragraph 5 (Appropriations). In accordance with Section 13-1-150 NMSA 1978, no contract term for a professional services contract, including extensions and renewals, shall exceed four years, except as set forth in Section 13-1-150 NMSA 1978.

5. Termination.

A. Termination. This Agreement may be terminated by either of the parties hereto upon written notice delivered to the other party at least thirty (30) days prior to the intended date of termination. Except as otherwise allowed or provided under this Agreement, the City's sole liability upon such termination shall be to pay for acceptable work performed prior to the Contractor's receipt of the notice of termination, if the City is the terminating party, or the Contractor's sending of the notice of termination, if the Contractor is the terminating party; provided, however, that a notice of termination shall not nullify or otherwise affect either party's liability for pre-termination defaults under or breaches of this Agreement. The Contractor shall submit an invoice for such work within thirty (30) days of receiving or sending the notice of termination. Notwithstanding the foregoing, this Agreement may be terminated immediately upon written notice to the Contractor if the Contractor becomes unable to perform the services contracted for, as determined by the City or if, during the term of this Agreement, the Contractor or any of its officers, employees or agents is indicted for fraud, embezzlement or other crime due to misuse of City funds or due to the Appropriations paragraph herein. THIS PROVISION IS NOT EXCLUSIVE AND DOES NOT WAIVE THE City's OTHER LEGAL RIGHTS AND REMEDIES CAUSED BY THE CONTRACTOR'S DEFAULT/BREACH OF THIS AGREEMENT.

B. Termination Management. Immediately upon receipt by either the City or the Contractor of notice of termination of this Agreement, the Contractor shall: 1) not incur any further obligations for salaries, services or any other expenditure of funds under this Agreement without written approval of the City; 2) comply with all directives issued by the City in the notice of termination as to the performance of work under this Agreement; and 3) take such action as the City shall direct for the protection, preservation, retention or transfer of all property titled to the City and records generated under this Agreement. Any non-expendable personal property or equipment provided to or purchased by the Contractor with contract funds shall become property of the City upon termination and shall be submitted to the City as soon as practicable.

6. Appropriations.

The terms of this Agreement are contingent upon sufficient appropriations and authorization being made by the City Council for the performance of this Agreement. If sufficient appropriations and authorization are not made by the City Council, this Agreement shall terminate immediately upon written notice being given by the City to the Contractor. The City's decision as to whether sufficient appropriations are available shall be accepted by the Contractor and shall be final. If the City proposes an amendment to the Agreement to unilaterally

reduce funding, the Contractor shall have the option to terminate the Agreement or to agree to the reduced funding, within thirty (30) days of receipt of the proposed amendment.

7. Status of Contractor.

The Contractor and its agents and employees are independent contractors performing professional services for the City and are not employees of the City. The Contractor and its agents and employees shall not accrue leave, retirement, insurance, bonding, use of City vehicles, or any other benefits afforded to employees of the City as a result of this Agreement. The Contractor acknowledges that all sums received hereunder are reportable by the Contractor for tax purposes, including without limitation, self-employment and business income tax. The Contractor agrees not to purport to bind the City unless the Contractor has express written authority to do so, and then only within the strict limits of that authority.

8. Assignment.

The Contractor shall not assign or transfer any interest in this Agreement or assign any claims for money due or to become due under this Agreement without the prior written approval of the City.

9. Subcontracting.

The Contractor shall not subcontract any portion of the services to be performed under this Agreement without the prior written approval of the City. No such subcontract shall relieve the primary Contractor from its obligations and liabilities under this Agreement, nor shall any subcontract obligate direct payment from the City.

10. Release.

Final payment of the amounts due under this Agreement shall operate as a release of the City, its officers and employees from all liabilities, claims and obligations whatsoever arising from or under this Agreement.

11. Confidentiality.

Any confidential information provided to or developed by the Contractor in the performance of this Agreement shall be kept confidential and shall not be made available to any individual or organization by the Contractor without the prior written approval of the City.

12. Product of Service -- Copyright.

All materials developed or acquired by the Contractor under this Agreement shall become the property of the City and shall be delivered to the City no later than the termination date of this Agreement. Nothing developed or produced, in whole or in part, by the Contractor under this Agreement shall be the subject of an application for copyright or other claim of ownership by or on behalf of the Contractor.

13. Conflict of Interest; Governmental Conduct Act.

A. The Contractor represents and warrants that it presently has no interest and, during the term of this Agreement, shall not acquire any interest, direct or indirect, which would conflict in any manner or degree with the performance or services required under the Agreement.

B. The Contractor further represents and warrants that it has complied with, and, during the term of this Agreement, will continue to comply with, and that this Agreement complies with all applicable provisions of the Governmental Conduct Act, Chapter 10, Article 16 NMSA 1978.

C. Contractor's representations and warranties in Paragraphs A and B of this Article 13 are material representations of fact upon which the City relied when this Agreement was entered into by the parties. Contractor shall provide immediate written notice to the City if, at any time during the term of this Agreement, Contractor learns that Contractor's representations and warranties in Paragraphs A and B of this Article 13 were erroneous on the effective date of this Agreement or have become erroneous by reason of new or changed circumstances. If it is later determined that Contractor's representations and warranties in Paragraphs A and B of this Article 13 were erroneous on the effective date of this Agreement or have become erroneous by reason of new or changed circumstances, in addition to other remedies available to the City and notwithstanding anything in the Agreement to the contrary, the City may immediately terminate the Agreement.

D. All terms defined in the Governmental Conduct Act have the same meaning in this section.

14. Amendment.

A. This Agreement shall not be altered, changed or amended except by instrument in writing executed by the parties hereto and all other required signatories.

B. If the City proposes an amendment to the Agreement to unilaterally reduce funding due to budget or other considerations, the Contractor shall, within thirty (30) days of receipt of the proposed Amendment, have the option to terminate the Agreement, pursuant to the termination provisions as set forth in Article 4 herein, or to agree to the reduced funding.

15. Merger.

This Agreement incorporates all the Agreements, covenants and understandings between the parties hereto concerning the subject matter hereof, and all such covenants, Agreements and understandings have been merged into this written Agreement. No prior Agreement or understanding, oral or otherwise, of the parties or their agents shall be valid or enforceable unless embodied in this Agreement.

16. Penalties for violation of law.

The Procurement Code, Sections 13-1-28 through 13-1-199, NMSA 1978, imposes civil and criminal penalties for its violation. In addition, the New Mexico criminal statutes impose felony penalties for illegal bribes, gratuities and kickbacks.

17. Equal Opportunity Compliance.

The Contractor agrees to abide by all federal and state laws and rules and regulations, and Santa Fe City Code, pertaining to equal employment opportunity. In accordance with all such laws of the State of New Mexico, the Contractor assures that no person in the United States shall,

on the grounds of race, religion, color, national origin, ancestry, sex, age, physical or mental handicap, or serious medical condition, spousal affiliation, sexual orientation or gender identity, be excluded from employment with or participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity performed under this Agreement. If Contractor is found not to be in compliance with these requirements during the life of this Agreement, Contractor agrees to take appropriate steps to correct these deficiencies.

18. Applicable Law.

The laws of the State of New Mexico shall govern this Agreement, without giving effect to its choice of law provisions. Venue shall be proper only in a New Mexico court of competent jurisdiction in accordance with Section 38-3-1 (G) NMSA 1978. By execution of this Agreement, Contractor acknowledges and agrees to the jurisdiction of the courts of the State of New Mexico over any and all lawsuits arising under or out of any term of this Agreement.

19. Workers Compensation.

The Contractor agrees to comply with state laws and rules applicable to workers compensation benefits for its employees. If the Contractor fails to comply with the Workers Compensation Act and applicable rules when required to do so, this Agreement may be terminated by the City.

20. Insurance

A. The Contractor shall maintain adequate legal malpractice insurance. It is the sole responsibility of the Contractor to be in compliance with the law. Such insurance shall provide that the City is named as an additional insured and that the City is notified no less than 30 days in advance of cancellation for any reason. The Contractor shall furnish the City with a copy of a Certificate of Insurance as a condition prior to performing services under this Agreement.

B. Contractor shall obtain and maintain Workers' Compensation insurance required by law to provide coverage for Contractor's employees throughout the term of this Agreement. Upon request, Contractor shall provide the City with evidence of its compliance with such requirement.

21. Records and Financial Audit.

The Contractor shall maintain detailed time and expenditure records that indicate the date; time, nature and cost of services rendered during the Agreement's term and effect and retain them for a period of three (3) years from the date of final payment under this Agreement. The records shall be subject to inspection by the City. The City shall have the right to audit billings both before and after payment. Payment under this Agreement shall not foreclose the right of the City to recover excessive or illegal payments

22. INDEMNIFICATION.

The Contractor shall indemnify, hold harmless and defend the City from all losses, damages, claims or judgments on account of any suit, judgment, execution, claim, action or demand whatsoever arising from Contractor's performance under this Agreement as well as the performance of Contractor's employees, agents, representatives and subcontractors, including payments of all attorneys' fees and costs.

23. NEW MEXICO TORT CLAIMS ACT

Any liability incurred by the City of Santa Fe in connection with this Agreement is subject to the immunities and limitations of the New Mexico Tort Claims Act, Section 41-4-1, et. seq. NMSA 1978, as amended. The City and its “public employees” as defined in the New Mexico Tort Claims Act, do not waive sovereign immunity, do not waive any defense and do not waive any limitation of liability pursuant to law. No provision in this Agreement modifies or waives any provision of the New Mexico Tort Claims Act.

24. Invalid Term or Condition.

If any term or condition of this Agreement shall be held invalid or unenforceable, the remainder of this Agreement shall not be affected and shall be valid and enforceable.

25. Notices.

Any notices required to be given under this Agreement shall be in writing and served by personal delivery or by mail, postage prepaid, to the parties at the following addresses:

CITY OF SANTA FE:
CITY ATTORNEY’S OFFICE
P.O. Box 909
SANTA FE, NM 87504

CONTRACTOR:
STEIN & BROCKMANN, P.A.
P.O. Box 2067
SANTA FE, NM 87504

IN WITNESS WHEREOF, the parties have executed this Agreement on the dates set forth below.

CITY OF SANTA FE:

CONTRACTOR:
Stein & Brockmann, P.A.

John Blair
John Blair (Jun 12, 2023 21:14 MDT)
JOHN BLAIR, CITY MANAGER

Jay Stein
Jay F. Stein, President

Date: Jun 12, 2023

Date: 4/17/2023

N.M. Taxation & Revenue
CRS #02-130722-009
City of Santa Fe Business
Registration #19-00070821

ATTEST:

Kristine Bustos Mihelcic
KRISTINE BUSTOS MIHELICIC, CITY CLERK XIV

CITY ATTORNEY'S OFFICE:

Marcos Martinez

Marcos Martinez (Apr 17, 2023 16:07 MDT)

SENIOR ASSISTANT CITY ATTORNEY

APPROVED FOR FINANCES:

Emily K. Oster

Emily K. Oster (Jun 10, 2023 08:30 MDT)

EMILY OSTER, FINANCE DIRECTOR



5050381.510200 AH
AH



City of Santa Fe, New Mexico

memo

Date: April 10, 2023

To: John Blair, City Manager
Emily Oster, Finance Director
Travis Dutton-Leyda, Purchasing Director

From: Marcos D. Martínez, Senior Assistant City Attorney 
Irene Romero, Administrative Manager 

Via: Erin K. McSherry, City Attorney 
John Dupuis, Public Utilities Director 

Re: Legal Services Agreement with Stein & Brockmann, LLC

The City Attorney's Office is requesting approval of the Legal Services Agreement with Stein & Brockmann, LLC. for \$60,000, term date of June 30, 2025 for the following services:

A) Return Flow Credits on the Santa Fe and Rio Grande Rivers. Assisting the City with the state and federal permitting processes for one or more return flow and/or discharge credits on the Santa Fe and Rio Grande Rivers. The application process includes, but is not limited to any administrative or adjudicative proceeding and subsequent negotiations with the NM Office of the State Engineer, and the federal agencies involved in the NEPA process necessary to the permit the project.

B) Ground Water Storage and Recovery. Planning, drafting, and applying for one or more groundwater storage and recovery permits on the Santa Fe and/or Rio Grande Rivers. The application process includes, but is not limited to, pre-application discussions and any administrative or adjudicative proceeding necessary to the permit process.

C) Forty (40) and One-Hundred (100) Year Plans. Assist the City in drafting a water development plan for both internal planning use and possible submission to the New Mexico Office of the State Engineer.

D) Consultation. The Contractor shall regularly consult and communicate with the City Attorney's Office regarding the status of the matter. Both the Contractor and the City Attorney's Office shall enter an appearance in any administrative or judicial proceeding.

RECOMMEND ACTION:

The City Attorney's Office recommends approval of the Legal Services Agreement with Stein and Brockmann for \$60,000, plus GRT, term date of June 30, 2025.

Business Unit 5050381 Line Item 510200

City of Santa Fe, New Mexico

memo

Date: March 21, 2023
To: Travis Dutton-Leyda, Chief Procurement Officer
From: Irene Romero, Administrative Manager *IR*
Subject: Exemption Determination Request 11-13 B 3

City Attorney's Office respectfully request exempt determination to be approved by the City's CPO. The Agreement is between the Stein Law Firm and the City of Santa Fe. The Stein Law Firm represents the City in the following matters: Return flow credits SF and Rio Grande Rivers; Ground Water Storage and recovery; consultation

City of Santa Fe follows the New Mexico State Procurement code.

(3) Legal services for advice, consultation, and representation of the City.

Amount: \$60,000 plus grt \$4987.50
Term: June 30, 2025

Approved Exemption

Travis Dutton-Leyda

Chief Procurement Officer



CITY OF SANTA FE PROCUREMENT CHECKLIST

Contractor Name: Stein & Brockman

Procurement Title: Legal Services Agr.

Procurement Method: State Price Agreement Cooperative Sole Source Other _____

Exempt Request For Proposal (RFP) Invitation To Bid (ITB) Contract under 60K Contract over 60K

Department Requesting City Attorney's Office Staff Name Marcos Martinez /Irene Romero

Procurement Requirements:

A procurement file shall be maintained for all contracts, regardless of the method of procurement. The procurement file shall contain the basis on which the award is made, all submitted bids, all evaluation materials, score sheets, quotations and all other documentation related to or prepared in conjunction with evaluation, negotiation, and the award process. The procurement shall contain a written determination from the Requesting Department, signed by the purchasing officer, setting forth the reasoning for the contract award decision before submitting to the Committees. .

REQUIRED DOCUMENTS FOR APPROVAL BY PURCHASING*

YES	N/A	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Approved Procurement Checklist (by Purchasing)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Memo addressed to City Manager (under 60K) Committees/City Council (over 60K)
<input type="checkbox"/>	<input checked="" type="checkbox"/>	State Price Agreement
<input type="checkbox"/>	<input checked="" type="checkbox"/>	RFP
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Evaluation Committee Report
<input type="checkbox"/>	<input checked="" type="checkbox"/>	ITB
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Bib Tab
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Quotes (3 valid current quotes)
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Cooperative Agreement
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Sole Source Request and Determination Form
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Contractors Exempt Letter
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Purchasing Officers approval for exempt procurement
<input type="checkbox"/>	<input checked="" type="checkbox"/>	BAR
<input type="checkbox"/>	<input checked="" type="checkbox"/>	FIR
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Executed Contract, Agreement or Amendment
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Current Business Registration and CRS numbers on contract or agreement
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Summary of Contracts and Agreements form
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Certificate of Insurance
<input type="checkbox"/>	<input checked="" type="checkbox"/>	All documentation presented to Committees
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other: _____

Irene Romero Administrative Manager 3/21/23
Department Rep Printed Name (attesting that all information included) Title Date

Travis Dutton-Leyda Chief Procurement Officer May 19, 2023
Purchasing Officer (attesting that all information is reviewed) Title Date

Include all other substantive documents and records of communication that pertain to the procurement and any resulting contract.



City of Santa Fe

Real Estate Summary of Contracts, Agreements, Amendments & Leases

Section to be completed by department

1. Munis Contract # 3204027

Contractor: Stein & Brockman, LLC

Description: **Contractor shall represent the City in following matter: Return Flow Credits on the SF and Rio Grande Rivers, Ground Water Storage and Recovery and consultation.**

Contract Agreement Lease / Rent Amendment

Term Start Date: 7/1/23 Term End Date: 6/30/25

Approved by Council Date: _____

Contract / Lease: Legal Services Agreement: \$60,000

Amendment # _____ to the Original Contract / Lease # _____

Increase/(Decrease) Amount \$ _____

Extend Termination Date to: _____

Approved by Council Date: _____

Amendment is for:

2. **HISTORY of Contract, Amendments & Lease / Rent - Please Elaborate** (option: attach spreadsheet if multiple amendments)

3. **Procurement History:** _____

Travis Dutton-Leyda _____ May 19, 2023
Purchasing Officer Review: _____ Date: _____

Comment & Exceptions: Exempt CoSF Procurement Manual VI. 20

4. **Funding Source:** _____ **Org / Object:** 5050381.510200

Andy Hopkins _____ May 19, 2023
Budget Officer Approval: _____ Date: _____

Comment & Exceptions: _____

Staff Contact who completed this form: _____ Phone # _____

Email: _____

To be recorded by City Clerk:

Clerk # _____

Date of Execution: _____



City of Santa Fe

Treasury Department
200 Lincoln Ave.
Santa Fe, New Mexico 87504-0909
505-955-6551

BUSINESS REGISTRATION

Business Name: STEIN & BROCKMAN P.A.
DBA: STEIN & BROCKMAN P.A.

Business Location: 505 DON GASPAR AVE
SANTA FE, NM 87505

CRS Number: 0213072209

Owner: STEIN & BROCKMANN P.A.

License Number: 226583

License Type: Business License - Renewable

Issued Date: January 23, 2023

Classification: Business Registration - Standard

Expiration Date: January 23, 2024


Fees Paid: \$35.00


STEIN & BROCKMAN P.A.
PO BOX 2067
SANTA FE, NM 87504

THIS IS NOT A CONSTRUCTION PERMIT OR SIGN PERMIT.
APPROPRIATE PERMITS MUST BE OBTAINED FROM THE CITY
OF SANTA FE BUILDING PERMIT DIVISION PRIOR TO
COMMENCEMENT OF ANY CONSTRUCTION OR THE
INSTALLATION OF ANY EXTERIOR SIGN.

THIS REGISTRATION/LICENSE IS NOT TRANSFERRABLE TO
OTHER BUSINESSES OR PREMISES.

TO BE POSTED IN A CONSPICUOUS PLACE

Signature: 
Email: ekmcsherry@santafenm.gov

Signature: 
John Dupuis (May 15, 2023 11:11 MDT)
Email: jedupuis@santafenm.gov

Signature: Marcos Martinez
Marcos Martinez (May 15, 2023 11:11 MDT)
Email: mdmartinez@santafenm.gov



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
03/22/2023

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

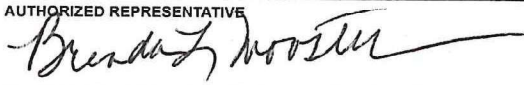
PRODUCER LAI Professional Insurance Programs 810 Calle Mejia Suite 104 Santa Fe NM 87501	CONTACT NAME: Brenda Wooster PHONE (A/C, No, Ext): (505) 471-0088 E-MAIL ADDRESS: brenda.wooster@lai-insurance.com	FAX (A/C, No): (505) 471-4358
	INSURER(S) AFFORDING COVERAGE	
INSURED Stein & Brockmann 505 Don Gaspar P. O. Box 2067 Santa Fe NM 87504	INSURER A: Medmarc Casualty Insurance Company	
	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES **CERTIFICATE NUMBER:** CL2332212317 **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence)	\$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident)	\$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$						EACH OCCURRENCE AGGREGATE	\$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below						<input type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE E.L. DISEASE - POLICY LIMIT	\$
A	Professional Liability			23MCNM000004	01/09/2023	01/09/2024	Per Claim Aggregagte	2,000,000 2,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER City of Santa Fe 200 Lincoln Avenue P. O. Box 909 Santa Fe NM 87504	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE 
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CM CAO Stein Brockman

Final Audit Report

2023-05-19

Created:	2023-05-19
By:	Jimmy Tapia (jptapia@ci.santa-fe.nm.us)
Status:	Signed
Transaction ID:	CBJCHBCAABAAQGI3cVed5rmAgk4mdDWkL9U4AI-MKael

"CM CAO Stein Brockman" History

-  Document created by Jimmy Tapia (jptapia@ci.santa-fe.nm.us)
2023-05-19 - 2:33:54 PM GMT- IP address: 63.232.20.2
-  Document emailed to Andy Hopkins (ajhopkins@santafenm.gov) for signature
2023-05-19 - 2:36:23 PM GMT
-  Restricted visibility Email viewed by Andy Hopkins (ajhopkins@santafenm.gov)
2023-05-19 - 5:52:41 PM GMT- IP address: 104.47.65.254
-  Restricted visibility Document e-signed by Andy Hopkins (ajhopkins@santafenm.gov)
Signature Date: 2023-05-19 - 5:53:02 PM GMT - Time Source: server- IP address: 63.232.20.2
-  Document emailed to Travis Dutton-Leyda (tkduttonleyda@santafenm.gov) for signature
2023-05-19 - 5:53:04 PM GMT
-  Email viewed by Travis Dutton-Leyda (tkduttonleyda@santafenm.gov)
2023-05-19 - 6:12:12 PM GMT- IP address: 104.47.65.254
-  Document e-signed by Travis Dutton-Leyda (tkduttonleyda@santafenm.gov)
Signature Date: 2023-05-19 - 8:31:27 PM GMT - Time Source: server- IP address: 63.232.20.2
-  Agreement completed.
2023-05-19 - 8:31:27 PM GMT

**CITY OF SANTA FE
AMENDMENT No. 1 TO
LEGAL SERVICES AGREEMENT
ITEM# 23-0415**

This AMENDMENT No. 1 (the "Amendment") amends the CITY OF SANTA FE LEGAL SERVICES AGREEMENT, dated June 12, 2023 (the "Contract"), between the City of Santa Fe (the "City") and Stein & Brockmann, P.A. (the "Contractor"). The date of this Amendment shall be the date when it is executed by the City and the Contractor whichever occurs last.

RECITALS:

A. Under the terms of the Contract, Contractor has agreed to represent the City in the following matters: (1) return flow credits on the Santa Fe and Rio Grande Rivers (2) ground water storage and recovery (3) on-call water rights matters, and (4) regular consultation and communication with the City Attorney's Office about these matters.

B. Pursuant to Article 14 of the Contract, and for good and valuable consideration, the receipt and sufficiency of which are acknowledged by the parties, the City and the Contractor agree as follows:

1. COMPENSATION.

The last sentence of Article 3, paragraph A, of the Contract is amended to increase the amount of compensation by a total of \$324,562.50 including New Mexico gross receipts tax so that the last sentence of Article 3, paragraph A, reads in its entirety as follows:

Said services shall not exceed three hundred eighty-nine thousand four hundred seventy-five dollars (\$389,475.00) including gross receipts tax, in total for the term of this agreement.

2. TERM:

Article 4 of the Contract is hereby deleted in its entirety and substitute the following Article 4 in its place:

THIS AGREEMENT SHALL NOT BECOME EFFECTIVE UNTIL APPROVED BY THE CITY.

This Agreement shall terminate on June 30, 2027 unless terminated pursuant to paragraph 4 (Termination), or paragraph 5 (Appropriations).

3. CONTRACT IN FULL FORCE.

Except as specifically provided in this Amendment, the Contract remains and shall remain in full force and effect, in accordance with its terms.

IN WITNESS WHEREOF, the parties have executed this Amendment No.1 to the Contract as of the dates set forth below.

CITY OF SANTA FE:

ALAN WEBBER, MAYOR

DATE: _____

CONTRACTOR:

Stein & Brockmann, P.A.

Jay Stein
Jay Stein (Nov 20, 2024 14:56 MST)

JAY F. STEIN, PRESIDENT

DATE: **Nov 20, 2024**

CRS #02-130722-009

ATTEST:

ANDRÉA SALAZAR, CITY CLERK

CITY ATTORNEY'S OFFICE:

Marcos Martinez
Marcos Martinez (Nov 20, 2024 14:57 MST)

SENIOR ASSISTANT CITY ATTORNEY

APPROVED FOR FINANCES:

FINANCE DIRECTOR

Stein & Brockmann Memo-COI-Original Contract Pkt for Amendment 2 (1)

Interim Agreement Report









2024-12-11

Created:	2024-12-04
By:	JAMES EDWARDS (jwedwards@santafenm.gov)
Status:	Out for Signature
Transaction ID:	CBJCHBCAABAAxt_8H-zMwUbc8QU55YSMC-rwkc3XQ6R


Agreement History

Agreement history is the list of the events that have impacted the status of the agreement prior to the final signature. A final audit report will be generated when the agreement is complete.

"Stein & Brockmann Memo-COI-Original Contract Pkt for Amendment 2 (1)" History

-  Document created by JAMES EDWARDS (jwedwards@santafenm.gov)
2024-12-04 - 3:52:40 PM GMT- IP address: 97.182.93.53
-  Document emailed to John Dupuis (jedupuis@santafenm.gov) for signature
2024-12-04 - 3:55:28 PM GMT
-  Document e-signed by John Dupuis (jedupuis@santafenm.gov)
Signature Date: 2024-12-06 - 4:17:39 PM GMT - Time Source: server- IP address: 174.240.17.201
-  Document sent to ALEXIS LOTERO (aclotero@santafenm.gov) and ajhopkins@santafenm.gov
ajhopkins@santafenm.gov (ajhopkins@santafenm.gov) for signature. One of them to sign
2024-12-06 - 4:17:43 PM GMT
-  Email viewed by ajhopkins@santafenm.gov ajhopkins@santafenm.gov (ajhopkins@santafenm.gov)
2024-12-09 - 4:41:36 PM GMT- IP address: 104.47.64.254
-  Document e-signed by ajhopkins@santafenm.gov ajhopkins@santafenm.gov (ajhopkins@santafenm.gov)
Signature Date: 2024-12-09 - 4:42:35 PM GMT - Time Source: server- IP address: 63.232.20.2
-  Document sent to JoAnn Lovato (jdlovato@santafenm.gov) and Travis Dutton-Leyda
(tkduttonleyda@santafenm.gov) for signature. One of them to sign
2024-12-09 - 4:42:39 PM GMT
-  Email viewed by JoAnn Lovato (jdlovato@santafenm.gov)
2024-12-09 - 4:48:39 PM GMT- IP address: 73.228.7.52



 Document e-signed by JoAnn Lovato (jdlovato@santafenm.gov)

Signature Date: 2024-12-09 - 4:52:10 PM GMT - Time Source: server- IP address: 73.228.7.52

 Document emailed to EMILY OSTER (ekoster@santafenm.gov) for signature

2024-12-09 - 4:52:13 PM GMT

Signature: wschneider
wschneider (Jan 9, 2025 15:01 MST)

Email: wschneider@santafenm.gov

Signature: 

Email: jdroach@santafenm.gov

Signature: 

Email: ekmcsherry@santafenm.gov











Stein & Brockmann Pkt for Committees_Signed Memos #1 and #2

Final Audit Report

2025-01-09


Created:	2025-01-09
By:	Amy Cawthon (amcawthon@santafenm.gov)
Status:	Signed
Transaction ID:	CBJCHBCAABAAa90_2sBk4dOxb-7lhJplQCUSN-D7si9p

"Stein & Brockmann Pkt for Committees_Signed Memos #1 and #2" History

-  Document created by Amy Cawthon (amcawthon@santafenm.gov)
2025-01-09 - 9:58:38 PM GMT- IP address: 63.232.20.2
-  Document emailed to whschneider@santafenm.gov for signature
2025-01-09 - 9:59:48 PM GMT
-  Document emailed to Jesse Roach (jdroach@santafenm.gov) for signature
2025-01-09 - 9:59:48 PM GMT
-  Document emailed to Erin McSherry (ekmcsberry@santafenm.gov) for signature
2025-01-09 - 9:59:48 PM GMT
-  Email viewed by Erin McSherry (ekmcsberry@santafenm.gov)
2025-01-09 - 10:00:39 PM GMT- IP address: 63.232.20.2
-  Email viewed by whschneider@santafenm.gov
2025-01-09 - 10:00:49 PM GMT- IP address: 104.47.64.254
-  Signer whschneider@santafenm.gov entered name at signing as whschneider
2025-01-09 - 10:01:33 PM GMT- IP address: 63.232.20.2
-  Document e-signed by whschneider (whschneider@santafenm.gov)
Signature Date: 2025-01-09 - 10:01:35 PM GMT - Time Source: server- IP address: 63.232.20.2
-  Document e-signed by Erin McSherry (ekmcsberry@santafenm.gov)
Signature Date: 2025-01-09 - 10:05:30 PM GMT - Time Source: server- IP address: 63.232.20.2
-  Email viewed by Jesse Roach (jdroach@santafenm.gov)
2025-01-09 - 10:15:13 PM GMT- IP address: 104.47.64.254

 Document e-signed by Jesse Roach (jdroach@santafenm.gov)

Signature Date: 2025-01-09 - 10:15:50 PM GMT - Time Source: server- IP address: 63.232.20.2

 Agreement completed.

2025-01-09 - 10:15:50 PM GMT